

PATENT AND

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Attorney Docket No.: E-974 Technology Center 2100 THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:

Thomas J. Foth, et al.

Serial No.: n/a

Filed: Concurrently herewith

) Date: December 29, 1999

For: METHOD AND APPARATUS FOR PROVIDING ELECTRONIC

REFUNDS IN AN ONLINE PAYMENT SYSTEM

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

A list of the patent(s) and/or publication(s) is set forth on the attached Form PTO-1449 (Modified).

A copy of each of the items on PTO-1449 (Modified) is supplied herewith.

Respectfully submitted,

Steven J. Shapiro

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Plus attachments: Form PTO-1449 (Modified)

One copy of each of the references



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Washingtons D. C. 20231 09/474492

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E-974 SJS/asa 12/29/99

JAN 18 2003 12)29/ggknowledge receipt of the following:

Patent Application Entitled METHOD AND APPARATUS FOR PROVIDING ELECTRONIC REFUNDS IN AN ONLINE PAYMENT SYSTEM; Inventor; Thomas J. Foth et al, 27 pages; 6 pages of drawings, 12 claims, and a Declaration and Power of Attorney. Also enclosed are: an Assignment, a Recordation of Assignment, plus one copy; an Information Disclosure Statement, plus one copy of each reference; a Letter of Transmittal authorizing Deposit Account charge, plus one copy; and a Certificate of Mailing by Express Mail.

by placing your receiving date stamp hereon and mailing. Thank you

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